



A-70056/ENB

**CERTIFICATE OF MAILING (37 CFR 1.8(a))**

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: BOX DD, Assistant Commissioner for Patents, Washington, D. C. 20231, on July 6, 2001

Signature

*Laura Lee Mosier*  
Laura Lee Mosier

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
JERMAN, et al.

Serial No. 09/728,212

Filed: November 29, 2000

For: Tunable Laser with Microactuator

Examiner: Not yet assigned

Group Art Unit: 2881

Date: July 6, 2001

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT SUBMITTED  
PRIOR TO THE FIRST OFFICIAL ACTION**

BOX DD  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.

☒ [X] The enclosed publications were cited in a foreign examination report in a related case. A copy of the search report and the cited references are attached hereto.

☐ [ ] The search report is not in the English language and a translation of the search report is enclosed.

- ☐ At least one of the enclosed publications is not in the English language.
- ☐ English translation(s) are enclosed.
- ☐ Enclosed is an explanation of the relevance of non-English publications for which an English translation is not available.
- ☐ The explanation is in the form of an English language search report.
- ☐ This Application relies on the earlier filing date of prior Application No. \_\_\_\_\_; filed \_\_\_\_\_, under 35 U.S.C. § 120. It is understood that the Examiner will consider information that was submitted to or cited by the Office in such prior applications. Notice of April 20, 1992, 1138 OG 37-41, at 37. Copies of documents previously submitted to or cited by the Office in the prior applications are not required to be provided in this application and thus are not attached. 37 C.F.R. § 1.98(d).


Pursuant to 37 C.F.R. Sections 1.56 and 1.97-1.99, Applicant brings to the attention of the Examiner the following references listed on attached Form 1449:

The subject application is believed patentable over any of the references listed on the attached PTO 1449 form.

Respectfully submitted,

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PTO/SB/8A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO  <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/728,212
				Filing Date	November 29, 2000
				First Named Inventor	John H. Jerman
				Group Art Unit	2881
				Examiner Name	To be assigned
Sheet	1	of	1	Attorney Docket Number	A-70056/ENB

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,420,416		Iida et al.	05/30/95	
		5,771,252		Lang et al.	06/23/98	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter

code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

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File No.: <b>A-70056/5851/ENB</b>	U.S. Application No.: <b>09/728,212</b>	Filing Date: <b>November 29, 2000</b>
Date Due: <b>n/a</b>	Date Mailed: <b>JULY 6, 2001</b>	Express Mail No.: <b>N/A</b>
Applicant: <b>JERMAN et al.</b>		
Title: <b>Tunable Laser with Microactuator</b>		
Enclosures: <input checked="" type="checkbox"/> Information Disclosure Statement, 2 pages; <input checked="" type="checkbox"/> PTO/SB/8A Form, 1 page; <input checked="" type="checkbox"/> Copies of Cited References, 2 total; <input checked="" type="checkbox"/> Copy of International Search Report, dated June 7, 2001; and <input checked="" type="checkbox"/> THIS POST CARD <b>NO FEE DUE</b>		Official Use Only!